

1 **ARTICLE 20**

2 **GRIEVANCE PROCEDURE AND ARBITRATION**

3

4 **20.1 Policy/Informal Resolution.** The parties agree that all problems should be  
 5 resolved, whenever possible, before filing a grievance within the time limits for filing  
 6 grievances stated elsewhere in this Article, and encourage open communications between  
 7 administrators and employees so that resort to the formal grievance procedure will not  
 8 normally be necessary. The parties further encourage the informal resolution of grievances  
 9 whenever possible. At each step in the grievance process, participants are encouraged to  
 10 pursue appropriate modes of conflict resolution. The purpose of this Article is to promote a  
 11 prompt and efficient procedure for the investigation and resolution of grievances. The  
 12 procedures hereinafter set forth shall be the sole and exclusive method for resolving  
 13 grievances of employees as defined herein.

14

15 **20.2 Resort to Other Procedures.** It is the intent of the parties to first provide a  
 16 reasonable opportunity for resolution of a dispute through the grievance procedure and  
 17 arbitration process. Except as noted below, if prior to seeking resolution of a dispute by  
 18 filing a grievance hereunder, or while the grievance proceeding is in progress, an employee  
 19 requests, in writing, resolution of the matter in any other forum, whether administrative or  
 20 judicial, the University shall have no obligation to entertain or proceed further with the  
 21 matter pursuant to this grievance procedure. As an exception to this provision, a grievant  
 22 may file an EEOC charge while the grievance is in progress when such filing becomes  
 23 necessary to meet federal filing deadlines pursuant to 42 U.S.C. § 2000e et seq. Further,  
 24 since the parties do not intend that this grievance procedure be a device for appellate  
 25 review, the University representative's response to a recommendation of a hearing officer or  
 26 other individual or group having appropriate jurisdiction in any other procedure shall not be  
 27 an act or omission giving rise to a grievance under this procedure.

28

29 **20.3 Definitions and Forms.** As used herein:

30 (a) the term "grievance" shall mean a dispute filed on a form referenced in Section  
 31 20.3(c) concerning the interpretation or application of a specific term or Article(s) and  
 32 section(s) of this Agreement, subject to those exclusions appearing in other Articles of this  
 33 Agreement. A Step 1 Grievance is a grievance alleging that one or more violations of this  
 34 Agreement have occurred at, or within, a college level unit. A Step 2 Grievance is a  
 35 grievance that has either

- 36 (1) continued from the Step 1 college or unit level to the University level or
- 37 (2) been filed alleging that one or more violations of the Agreement have occurred at
- 38 the dean's or the University level.

39 (b) the term "grievant" shall mean an employee or group of employees who has/have  
 40 filed a grievance in a dispute over a provision of this Agreement that confers rights upon the  
 41 employee(s) or the UFF. The UFF may file a grievance:

- 42 (1) in a dispute over a provision of this Agreement that confers rights upon the UFF.
- 43 A grievance filed by the UFF on behalf of the UFF shall be initiated at Step 2; or
- 44 (2) on behalf of the bargaining unit, a group of employees, or an individual
- 45 employee, provided any group is identified with sufficient specificity to enable the University
- 46 to identify its members.

47 (c) Consolidation. The parties may agree to consolidate grievances of a similar nature to  
48 expedite the review process. In a consolidated grievance, one Appendix "C," "D," or "E" may  
49 be attached, bearing the signatures of the grievants.

50 (d) Grievance Forms. Each grievance, request for review, and notice of arbitration must  
51 be submitted in writing on the appropriate form attached to this Agreement as Appendix "C,"  
52 "D," or "E," respectively, and shall be signed by the grievant. All grievance forms shall be  
53 dated when the grievance is received. If there is difficulty in meeting any time limit, the  
54 grievance representative may sign such documents for the grievant; however, grievant's  
55 signature shall be provided prior to the Step 1 meeting or Step 2 review if filed directly at  
56 Step 2. The aforementioned grievance forms, as well as Appendix "H," may be filed by  
57 means of fax, United States mail, email, or any other recognized means of delivery. Emails  
58 received after 5pm Eastern time will be deemed to have arrived the next business day.

59 (e) Remedy. A grievance shall specify the remedy sought by the grievant.

60  
61 **20.4 Burden of Proof.** For each violation alleged in a grievance filing except an alleged  
62 violation of Article 16, the duty of proving or disproving the fact or facts in dispute between  
63 the parties at the grievance proceeding(s) shall be on the employee. For disciplinary  
64 violations, the burden of proving the fact or facts at the grievance proceeding(s) shall be on  
65 the University.

66  
67 **20.5 Representation.** The UFF shall have the exclusive right to represent any employee  
68 in a grievance filed hereunder, unless an employee elects self-representation or to be  
69 represented by legal counsel. If an employee elects not to be represented by the UFF, the  
70 University shall promptly inform the UFF in writing of the grievance. No resolution of any  
71 individually processed grievance shall be inconsistent with the terms of this Agreement and  
72 for this purpose the UFF shall have the right to have an observer present at all meetings  
73 called with the grievant or grievance representative for the purpose of discussing such  
74 grievance and shall be sent copies of all decisions at the same time as they are sent to the  
75 other parties.

76  
77 **20.6 Grievance Representatives.** The UFF shall, on or before September 1 of each  
78 year, furnish to the University a list of all persons authorized to act as grievance  
79 representatives and shall update the list as needed. The UFF grievance representative shall  
80 have the responsibility to meet all classes, office hours, and other duties and responsibilities  
81 incidental to their assigned workload. Some of these activities are scheduled to be  
82 performed at particular times. Such representative shall have the right during times outside  
83 of those hours scheduled for these activities to investigate, consult, and prepare grievance  
84 presentations and attend grievance hearings and meetings. Should any hearings or  
85 meetings with the University's representatives necessitate rescheduling of assigned duties,  
86 the representative may, with the approval of the appropriate administrator, arrange for the  
87 rescheduling of such duties or their coverage by colleagues. Such approval shall not be  
88 unreasonably withheld.

89  
90 **20.7 Appearances.**

91 (a) When an employee participates during working hours in an arbitration proceeding or  
92 in a grievance meeting between the grievant or representative and the University, that  
93 employee's compensation shall neither be reduced nor increased for time spent in those  
94 activities.

95 (b) Prior to participation in any such proceedings, conferences, or meetings, the  
96 employee shall make arrangements acceptable to the appropriate supervisor for the  
97 performance of the employee's duties. Approval of such arrangements shall not be  
98 unreasonably withheld. Time spent in such activities outside regular working hours shall not  
99 be counted as time worked.

## 100 **20.8 Formal Grievance Procedure.**

### 101 (a) Filing.

102 (1) Step 1 and Step 2 grievances shall be filed in Academic Affairs on the appropriate  
103 form in the appendices within thirty days following the act or omission giving rise thereto, or  
104 the date on which the employee knew or reasonably should have known of such act or  
105 omission if that date is later. Thirty days shall be determined by the date stamped on the  
106 completed grievance form filed in Academic Affairs, or by the date of mailing as determined  
107 by the postmark or timestamp. The grievant may amend the Appendix "C" form one time,  
108 either prior to the Step 1 meeting for all grievances filed at Step 1, or prior to the Step 2  
109 review for all grievances filed directly at Step 2. Additional amendments to the grievance  
110 may be permitted by mutual agreement of the parties.

111 (2) An employee may seek redress of alleged salary discrimination by filing a  
112 grievance under the provisions of this Article. An act or omission giving rise to such a  
113 grievance may be the employee's receipt of the employee's salary warrant for the first full  
114 pay period in which the annual salary increases referenced in the Salary Article 23 are  
115 reflected.

116 (3) The filing of a grievance constitutes a waiver of any rights to judicial review of  
117 agency action pursuant to Chapter 120, Florida Statutes, or to the review of such actions  
118 under University procedures which may otherwise be available to address such matters.  
119 This grievance procedure shall be the sole review mechanism for resolving disputes  
120 regarding rights or benefits which are provided exclusively by this Agreement. Only those  
121 acts or omissions and sections of the Agreement identified at the initial filing may be  
122 considered at subsequent steps.

123 (b) Time Limits. All time limits contained in this Article may be extended by mutual  
124 agreement of the parties, except that the time limits for the initial filing of a grievance may  
125 be extended only by agreement between the University and the UFF. Upon failure of the  
126 University to provide a decision within the time limits provided in this Article, the grievant or  
127 the UFF, where appropriate, may appeal to the next step. Upon the failure of the grievant or  
128 the UFF, where appropriate, to file an appeal within the time limits provided in this Article,  
129 the grievance shall be deemed to have been resolved by the decision at the prior step.

### 130 (c) Postponement.

131 (1) The grievant may, in the written grievance at Step 1, request the postponement  
132 of any action in processing the grievance formally for a period of up to thirty days, during  
133 which efforts to resolve the grievance informally shall be made. The initial such request  
134 shall be granted. Upon the grievant's written request, additional extensions should be  
135 granted unless to do so would impede resolution of the grievance. Upon request, the  
136 university's representative shall, during the postponement period(s), arrange an informal  
137 meeting between the appropriate administrator and the grievant. The grievant shall have the  
138 right to representation by the UFF during attempts at informal resolution of the grievance.  
139 The grievant may, at any time, terminate the postponement period by giving written notice  
140 to the university's representative that the grievant wishes to proceed with the Step 1  
141 meeting. If the postponement period, or any extension thereof, expires without such written  
142

143 notice, the grievance shall be deemed informally resolved to the grievant's satisfaction and  
144 need not be processed further.

145 (2) In the case of a grievance filed pursuant to the Expedited Grievance Procedure  
146 referenced in the Article regarding Conflict of Interest/Commitment, the postponement  
147 period shall be no more than seven days unless the employee and the university agree  
148 otherwise.

149 (d) Step 1.

150 (1) Meeting. The University's representative and the grievant and the grievance  
151 representative shall meet no sooner than seven and no later than fifteen days following  
152 receipt of:

- 153 a. the grievance if no postponement is requested, or
- 154 b. written notice to proceed with the Step 1 meeting.

155 At the Step 1 meeting, the grievant shall have the right to present any evidence in support  
156 of the grievance, and the grievant and/or the UFF representative or the grievant's legal  
157 counsel (if selected), and the University's representative, shall discuss the grievance.

158 (2) Decision. The University's representative shall issue a written ~~decision summary~~  
159 ~~of the meeting and their conclusion(s)~~, stating the reasons therefore, to grievant's Step 1  
160 representative within thirty days following the conclusion of the meeting. In the absence of  
161 an agreement to extend the period for issuing the Step 1 decision, the grievant may  
162 proceed to Step 2 if the grievant's Step 1 representative has not received the written  
163 decision by the end of the 30th day following the conclusion of the Step 1 meeting. A copy  
164 of the ~~decision-written summary~~ shall be sent to the grievant and to the local UFF Chapter if  
165 grievant elected self-representation or representation by legal counsel.

166 (3) Documents. Where practicable, the Step 1 reviewer shall make available to the  
167 grievant, or grievance representative, documentation referenced in the Step 1 ~~decision~~  
168 ~~written summary~~ prior to its issuance. All documents referred to in the ~~decision-written~~  
169 ~~summary~~ and any additional documents presented by the grievant shall be attached to the  
170 ~~decision-written summary~~, together with a list of these documents. In advance of the Step 1  
171 meeting, the grievant shall have the right, upon written request, to a copy of any reasonably  
172 identifiable documents relevant to the grievance.

173 (e) Step 2

174 (1) Filing.

175 a. Continuation of Step 1 Grievance. If the grievance is not satisfactorily  
176 resolved at Step 1, the grievant may file a written request with Academic Affairs for review  
177 of the Step 1 ~~decision-written summary~~ by the University's representative. The grievant  
178 must make this request within thirty days following receipt of the Step 1 decision by the  
179 grievant's Step 1 representative. Thirty days shall be determined by the date stamped on  
180 the notice by Academic Affairs when the request is received in that office, by 5pm Eastern  
181 time, the date of receipt via email, or by the date of mailing as determined by the postmark  
182 or timestamp.

183 b. Step 2 Grievance Alleging Violation(s) of the Agreement at the University  
184 Level. A grievance may be filed at Step 2 if it alleges that one or more violations have  
185 occurred at the University level.

186 (2) Meeting. The University's representative and the grievant and the grievant's  
187 representative shall meet no sooner than seven and no later than fifteen days following  
188 receipt of:

- 189 a. the grievance if no postponement is requested or
- 190 b. written notice that the grievant wishes to proceed with the Step 2 meeting.

**Commented [CP1]:** So – the UFF stated that they will accept this language IF we remove the ability to reject a grievance in 20.11(b). I say we keep 20.11(b) and leave this as SQ.

We can revisit a different process in the future.

**Commented [TR2R1]:** UFF affirms Charlie's comment above: UFF will accept BOT changes proposed here if BOT agrees to delete 20.11b

191 At the Step 2 meeting the grievant shall have the right to present evidence in support of the  
192 grievance, and the grievant and/or the UFF representative or the grievant's legal counsel (if  
193 selected) and the University's representative shall discuss the grievance.

194 (3) Decision. The University's representative shall issue a written decision, stating  
195 the reasons therefore, to the grievant and grievant's Step 2 representative within thirty days  
196 following the conclusion of the review meeting. Thirty days shall be determined by a receipt  
197 executed by Academic Affairs, or by the date of mailing as determined by the postmark or  
198 timestamp. In the absence of an agreement to extend the period for issuing the Step 2  
199 decision, the UFF may proceed to Step 3 if the grievant's Step 2 representative has not  
200 received the written decision by the end of the 30th day following the conclusion of the Step  
201 2 meeting. A copy of the decision shall be sent to the grievant and to the UFF grievance  
202 chair if the grievant elected self-representation or representation by legal counsel.

203 (f) Step 3 Arbitration.

204 (1) Filing. If the grievance has not been satisfactorily resolved at Step 2, the UFF  
205 may, upon the request of the grievant, proceed to arbitration by filing a written notice of the  
206 intent to do so. Notice of intent to proceed to arbitration by submitting Appendix E with  
207 Academic Affairs within thirty days after receipt of the Step 2 decision by grievant and  
208 grievant's Step 2 representative (if the grievant is represented by the UFF, the decision will  
209 be sent to the UFF grievance representative) and shall be signed by the grievant and the  
210 statewide UFF President, Director of Arbitrations or designee. Thirty days shall be  
211 determined by a receipt executed by the office receiving the grievance, or by the date of  
212 mailing as determined by the postmark or timestamp. The grievance may be withdrawn at  
213 any time by the grievant or by the statewide UFF President, Director of Arbitrations or  
214 designee at any point during Step 3. The parties shall stipulate to the issue(s) prior to the  
215 arbitration. In the event a stipulation is not reached, the parties shall proceed to a hearing  
216 on arbitrability pursuant to Section 20.8(f)(4).

217 (2) Selection of Arbitrator. Within ninety days after the execution of this Agreement,  
218 the parties shall review the existing Arbitration Panel list. If either party wishes to make  
219 changes to the panel, or if an arbitrator needs to be added because of a vacancy,  
220 representatives of the University and the UFF shall meet to make changes or additions to  
221 that list within ninety days after the execution of this Agreement for the purpose of in order to  
222 selecting/maintain an Arbitration Panel of ten or more no fewer than nine members. Within  
223 fourteen days after receipt of a notice of intent to arbitrate, representatives of the University  
224 and the UFF shall meet for the purpose of selecting an arbitrator from the Panel. Selection  
225 shall be by mutual agreement or by alternately striking names from the Arbitration Panel list  
226 until one name remains. The right of the first choice to strike from the list shall be  
227 determined by the flip of a coin. ~~If the parties are unable to agree to a panel of arbitrators,~~  
228 ~~they shall follow the normal American Arbitration Association procedure for the selection of~~  
229 ~~an arbitrator. The parties may mutually select as the arbitrator an individual who is not a~~  
230 ~~member of the Arbitration Panel.~~ The arbitration shall be held within sixty days following the  
231 selection of the arbitrator, if practicable.

232 (3) Authority of the Arbitrator.

233 a. The arbitrator shall not add to, subtract from, modify, or alter the terms or  
234 provisions of this Agreement. Arbitration shall be confined solely to the application and/or  
235 interpretation of this Agreement and the precise issue(s) submitted for arbitration. The  
236 arbitrator shall refrain from issuing any statements of opinion or conclusions not essential to  
237 the determination of the issues submitted.

238 b. Where an administrator has made a judgment involving the exercise of  
239 discretion, such as decisions regarding tenure or promotion, the arbitrator shall not

Commented [CP3]: It looks as if the UFF simply accepted the changes in (2) without making a note about it. It looks as if we can accept these changes as mutually agreed upon.

Commented [TR4R3]: UFF agrees

Commented [CP5]: This language is intended for the first contract – language is not applicable to continuing situation.

UFF also accepted this deletion without comment. Looks like we can accept as well.

240 substitute the arbitrator's judgment for that of the administrator. Nor shall the arbitrator  
 241 review such decision except for the purpose of determining whether the decision has  
 242 violated this Agreement. If the arbitrator determines that the Agreement has been violated,  
 243 the arbitrator shall direct the University to take appropriate action. An arbitrator may award  
 244 back salary where the arbitrator determines that the employee is not receiving the  
 245 appropriate salary from the University, but the arbitrator may not award other monetary  
 246 damages or penalties. If notice that further employment will not be offered is not given on  
 247 time, the arbitrator may direct the University to renew the appointment only upon a finding  
 248 that no other remedy is adequate, and that the notice was given so late that (a) the  
 249 employee was deprived of reasonable opportunity to seek other employment, or (b) the  
 250 employee actually rejected an offer of comparable employment which the employee  
 251 otherwise would have accepted.

252 c. An arbitrator's decision awarding employment beyond the sixth year shall not  
 253 entitle the employee to tenure. In such cases the employee shall serve ~~in a non-tenure-~~  
 254 ~~earning capacity~~ during the seventh year without further right to notice that the employee  
 255 will not be offered employment thereafter, ~~unless the result of the arbitration is otherwise~~. If  
 256 an employee is reappointed at the direction of an arbitrator, the University's representative  
 257 may reassign the employee during such reappointment.

258 (4) Arbitrability. Issues of arbitrability shall be bifurcated from the substantive  
 259 issue(s) and, whenever possible, determined by means of a hearing conducted by  
 260 conference call. The arbitrator shall have ten days from the hearing to render a decision on  
 261 arbitrability. If the issue is judged to be arbitrable, an arbitrator shall then be selected to  
 262 hear the substantive issue(s).

263 (5) Conduct of Hearing. The arbitrator shall hold the hearing in the city where the  
 264 grievant is employed, unless otherwise agreed by the parties. The hearing shall commence  
 265 within twenty-five days of the arbitrator's acceptance of selection, or as soon thereafter as is  
 266 practicable, and the arbitrator shall issue the decision within forty-five days of the close of  
 267 the hearing or the submission of briefs, whichever is later, unless additional time is agreed  
 268 to by the parties. The decision shall be in writing and shall set forth findings of fact,  
 269 reasoning, and conclusions on the issues submitted. Except as expressly specified in this  
 270 Article, the provisions of the Florida Arbitration Code, Chapter 682, Florida Statutes, shall  
 271 not apply. Except as modified by the provisions of this Agreement, arbitration proceedings  
 272 shall be conducted in accordance with the rules and procedures of the American Arbitration  
 273 Association.

274 (6) Effect of Decision. The decision or award of the arbitrator shall be final and  
 275 binding upon the University, the UFF, and the grievant, provided that either party may  
 276 appeal to an appropriate court of law a decision that was rendered by the arbitrator acting  
 277 outside of or beyond the arbitrator's jurisdiction, pursuant to Section 682.13, Florida  
 278 Statutes.

279 (7) Venue. For purposes of venue in any judicial review of an arbitrator's decision  
 280 issued under this agreement, the parties agree that such an appeal shall be filed in the  
 281 courts in Orange County, Florida, unless both parties specifically agree otherwise in a  
 282 particular instance. In an action commenced in Orange County, neither the University nor  
 283 the UFF will move for a change of venue based upon the defendant's residence in fact if  
 284 other than Orange County.

285 (8) Fees and Expenses. All fees and expenses of the arbitrator shall be divided  
 286 equally between the parties. Each party shall bear the cost of preparing and presenting its  
 287 own case. The party desiring a transcript of the arbitration proceedings shall provide written  
 288 notice to the other party of its intention to have a transcript of the arbitration made at least

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Commented [CP6]: Informs reader that once denied tenure, employee no longer participates in the tenure process. Spells out current practice. UFF rejects 10/13

Commented [TR7R6]: The suggested changes are unnecessary. The sense of the change proposed by BOT is already contained in the original statement.

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289 one week prior to the date of the arbitration. The party desiring such transcript shall be  
290 responsible for scheduling a stenotype reporter to record the proceedings. The parties shall  
291 share equally the appearance fee of the stenotype reporter and the cost of obtaining an  
292 original transcript and one copy for the party originally requesting a transcript of the  
293 proceedings.

294 (9) Retroactivity. An arbitrator's award may or may not be retroactive as the equities  
295 of each case may demand, but in no case shall an award be retroactive to a date earlier  
296 than thirty days prior to the date the grievance was initially filed in accordance with this  
297 Article.

298  
299 **20.9 Filings and Notification.** All documents required or permitted to be issued or filed  
300 pursuant to this Article may be transmitted by fax, United States mail, email, or any other  
301 recognized delivery service. Refusal to accept delivery to the address indicated in the  
302 university's records will be deemed as delivered. Receipt by UFF Representative shall  
303 constitute receipt only when the UFF represents the employee. Step 1 and Step 2 decisions  
304 shall be transmitted to the grievance representative (s) by personal delivery with written  
305 documentation of receipt or by certified mail, return receipt requested, or via email. In the  
306 event that any action falls due on a Saturday, Sunday, or holiday (as referred to in in the  
307 Leaves Article), the action will be considered timely if it is accomplished by 5:00 p.m. on the  
308 following business day.

309  
310 **20.10 Precedent.** No complaint informally resolved, or grievance resolved at either Step 1  
311 or 2, shall constitute a precedent for any purpose unless agreed to in writing by the  
312 University's representative and the UFF acting through its local President or representative.  
313

314 **20.11 Processing.**

315 (a) The filing or pendency of any grievance or arbitration proceedings under this Article  
316 shall not operate to impede, preclude, or delay the University from taking the action  
317 complained of. Reasonable efforts, including the shortening of time limits when practical,  
318 shall be made to conclude the processing of a grievance prior to the expiration of the  
319 grievant's employment, whether by termination or failure to reappoint. An employee with a  
320 pending grievance will not continue to be compensated beyond the last date of  
321 employment.

322 (b) ~~The University's representative, may refuse consideration of a grievance not filed or~~  
323 ~~processed in accordance with this Article. If there is a question of dispute about timeliness~~  
324 ~~or fulfillment of any other requirement, the grievance may proceed, but the question of~~  
325 ~~timeliness dispute in question will be submitted for an arbitrability hearing before the matter~~  
326 ~~is considered further. All grievances must be filed according to this Agreement.~~  
327

328 **20.12 Reprisal.** No reprisal of any kind will be made by the University or the UFF against  
329 any grievant, any witness, any UFF representative, or any other participant in the grievance  
330 procedure by reason of such participation.  
331

332 **20.13 Records.** All written materials pertinent to a grievance shall be filed separately from  
333 the evaluation file of the grievant or witnesses, except decisions resulting from arbitration or  
334 settlement.  
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Commented [CP8]: This addresses the concern about timeliness.

Commented [TR9R8]: See comment above (lines 158 - 159)

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336 **20.14 Inactive Grievances.** A grievance which has been filed at Step 2 or Step 3 and on  
337 which no action has been taken by the grievant or the UFF for ninety days shall be deemed  
338 withdrawn and resolved in accordance with the decision issued at the prior Step.